



ORIGINALLY FILED  
COPY OF PAPERS  
04/23/02

913  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/978,455	10/16/2001	Sergey Lamansky	10020/20702

26646  
KENYON & KENYON  
ONE BROADWAY  
NEW YORK, NY 10004

COPY OF PAPERS  
RECEIVED  
04/23/02

CONFIRMATION NO. 5124  
FORMALITIES LETTER



\*OC000000007024841\*

Date Mailed: 11/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(o));

---

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2020-05132667



U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

<b>Transmittal Letter</b>		Docket Number: <b>10020/20702</b>	
Application Number <b>09/978,455</b>	Filing Date <b>October 16, 2001</b>	Examiner <b>Not yet assigned</b>	Art Unit <b>1774</b>
Invention Title <b>ORGANOMETALLIC COMPOUNDS AND EMISSION-SHIFTING ORGANIC ELECTROPHOSPHORESCENCE</b>		Inventor(s) <b>LAMANSKY et al.</b>	

Address to:

Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: May 13/02 Reg. No. 29,831.

Signature: Thomas F. Meagher  
Thomas F. Meagher

1. Please find enclosed the following document filed in connection with the above-referenced application:

Three (3) Appointment of Power of Attorney by Assignees of Entire Interest.

2. No fee is believed necessary however, the Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the deposit account of **KENYON & KENYON**, deposit account number **11-0600**:
  - A. Any additional filing fees required under 37 C.F.R. § 1.16;
  - B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
  - C. Any additional patent issue fees under 37 C.F.R. § 1.18;
  - D. Any additional document supply fees under 37 C.F.R. § 1.19;
  - E. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
  - F. Any additional miscellaneous fees under 37 C.F.R. § 1.21.

Dated: 1/2/02

By:

Thomas F. Meagher  
Thomas F. Meagher (Reg. No. 29,831)

KENYON & KENYON  
One Broadway  
New York, N.Y. 10004  
(212) 425-7200 (telephone)  
(212) 425-5288 (facsimile)



COPY OF PAPERS  
ORIGINALLY FILED  
01/23/02

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION --  
FILING DATE GRANTED**

Application Number <b>09/978,455</b>	Filing Date <b>October 16, 2001</b>	Examiner <b>Not yet assigned</b>	Art Unit <b>1774</b>
Invention Title <b>ORGANOMETALLIC COMPOUNDS AND EMISSION-SHIFTING ORGANIC ELECTROPHORESCENCE</b>		Inventor(s) <b>LAMANSKY et al.</b>	

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box Missing Parts

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: *1/23/02 TFM*

Signature: *Thomas F. Meagher*  
Thomas F. Meagher (Reg. No. 29,831)

To complete the filing requirements for the above-referenced application under 37 C.F.R. § 1.51, enclosed please find five (5) executed Declarations which contain the signatures of all of the named inventors. In addition, please find forty-nine (49) sheets of substitute drawings, without excessive text, in compliance with 37 C.F.R. §1.84. A copy of the Notice to File Missing Parts of Nonprovisional Application, mailed November 5, 2001, is also enclosed.

1. The Commissioner is hereby authorized to charge payment of the 37 C.F.R. § 1.16(e) surcharge fee of **\$65.00** to the deposit account of **KENYON & KENYON**, deposit account number **11-0600**. The Commissioner is also authorized to charge any additional fees or credit any overpayment in connection with this paper to Deposit Account No. 11-0600.
2. A duplicate copy of this sheet is enclosed.

Dated: *1/23/02*

By:

*Thomas F. Meagher*  
Thomas F. Meagher (Reg. No. 29,831)

KENYON & KENYON  
One Broadway  
New York, N.Y. 10004  
(212) 425-7200 (telephone)  
(212) 425-5288 (facsimile)

© Kenyon & Kenyon 1999



COPY OF PAPERS  
ORIGINALLY FILED

2

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**RESPONSE TO REQUEST FOR  
SUBSTITUTE PAPERS**

Docket Number  
**10020/20702**

Application Number  
**09/978,455**

Filing Date  
**October 16, 2001**

Examiner  
**Not yet assigned**

Art Unit  
**1774**

Invention Title  
**SMART CARD COMPOSED OF ORGANIC  
PROCESSING ELEMENTS**

Inventor(s)  
**LAMANSKY et al.**

**VIA HAND:**

Customer Service Window  
Room 1B03, Crystal Plaza 2  
Arlington, Virginia 22202

In response to the Request for Substitute Papers, mailed March 19, 2002 for the above-referenced application, enclosed please find a complete and accurate copy of each of the following papers which were previously filed with the U.S. Patent Office on January 3, 2002 as shown in the certificate of mailing for each paper.

1. A signed copy of the Request for Substitute Papers.
2. Response to Notice to File Missing Parts of Application, together with five (5) executed Declarations, forty-nine (49) sheets of substitute drawings without excessive text in accordance with 37 C.F.R. §1.84, and a copy of the Notice of File Missing Parts of Nonprovisional Application mailed November 5, 2001.
3. Transmittal letter together with three (3) executed Appointment of Power of Attorney by Assignees of Entire Interest documents.
4. Executed Assignment document from the inventors Adachi, Baldo and Forrest to THE TRUSTEES OF PRINCETON UNIVERSITY, together with Form PTO-1595 recordation form cover sheet.
5. Executed Assignment document from the inventors Lamansky, Thompson, Adamovich and Djurovich to THE UNIVERSITY OF SOUTHERN CALIFORNIA, together with Form PTO-1595 recordation form cover sheet.
6. Executed Assignment document from the inventor Kwong to UNIVERSAL DISPLAY CORPORATION, together with Form PTO-1595 recordation form cover sheet.

No fee is believed to be due in connection with the enclosed Request for Substitute Papers. However, should any fees be due, the Commissioner is authorized to charge Deposit Account No. 11-0600 for such fees. A duplicate copy of this communication is enclosed for charging purposes.

Dated: 4/1/02

By:

  
Thomas F. Meagher (Reg. No. 29,831)

KENYON & KENYON  
One Broadway  
New York, N.Y. 10004  
(212) 425-7200 (telephone)  
(212) 425-5288 (facsimile)